

YFAI training material for YFAI suppliers

Conflict Minerals intro & Creation of Company CM report manually

roll up of received Conflict Minerals reports

04/10/2017



Introduction



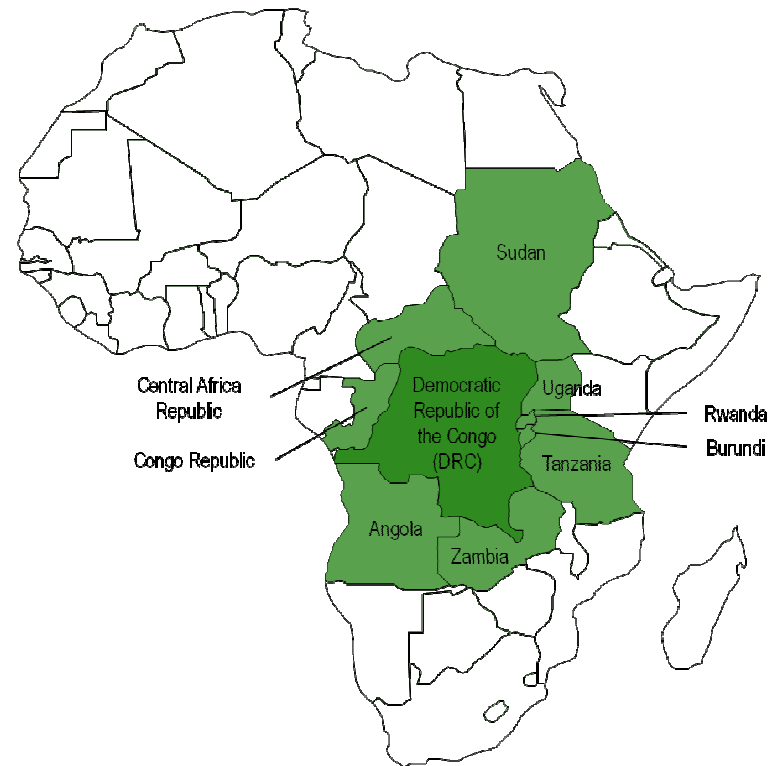


Introduction

Section 1502 of Dodd-Frank Wall Street Reform and Consumer Protection Act

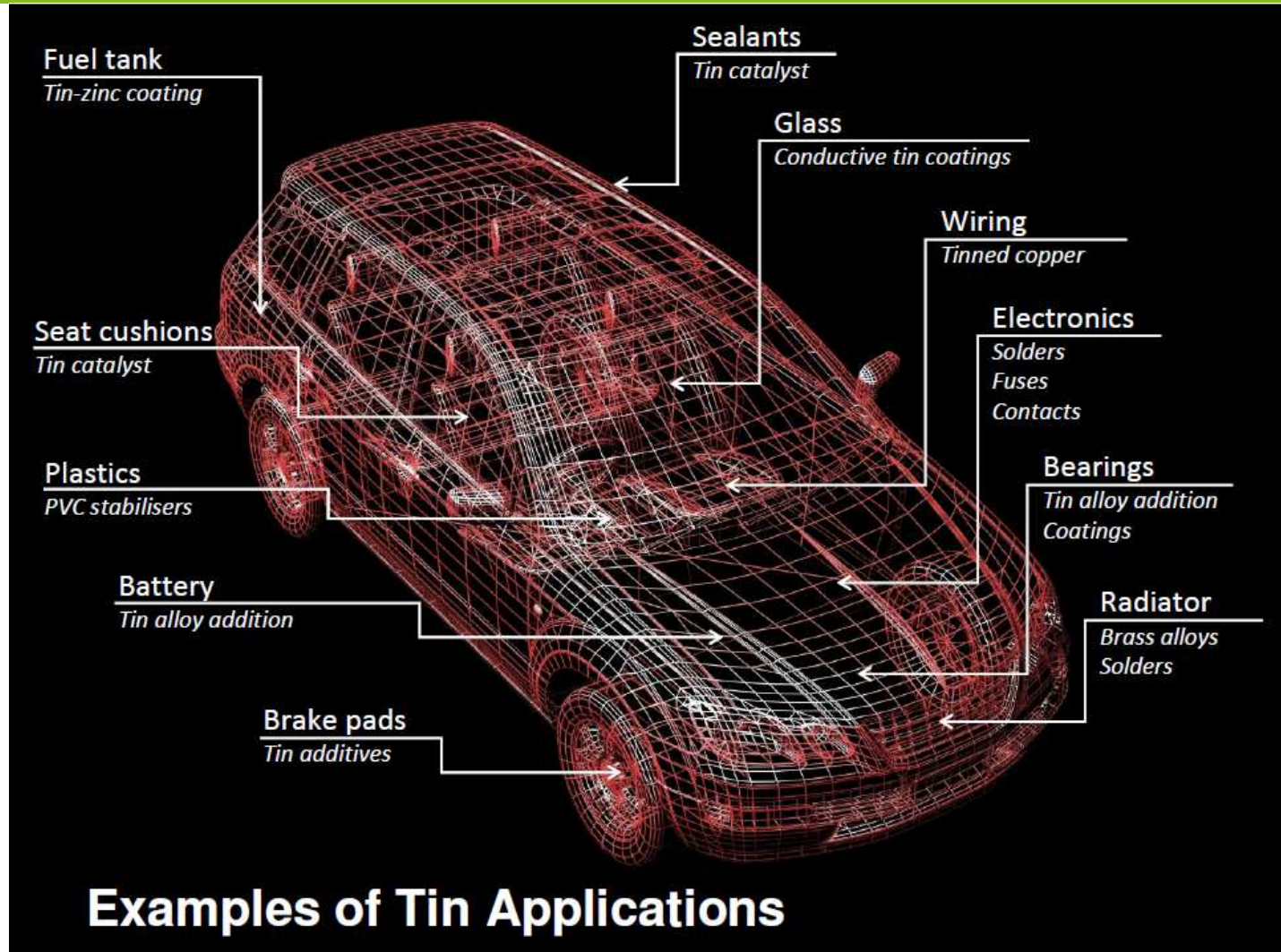
- The affected Minerals are referred to as “3TG” (Tin, Tantalum, Tungsten & Gold)
- **Companies Duty/Obligation:** investigate whether usage of 4 metals (Tin, Tantalum, Tungsten & Gold) & its compounds does not brake responsible supply chain behavior = does not support war in Congo & adjoin countries => **only certified smelters to be used**

Global Production		
Ta	Tantalite (Columbite)	32.4%
Sn	Tin (Cassiterite)	4.0%
W	Tungsten (Wolframite)	1.4%
Au	Gold	0.7%



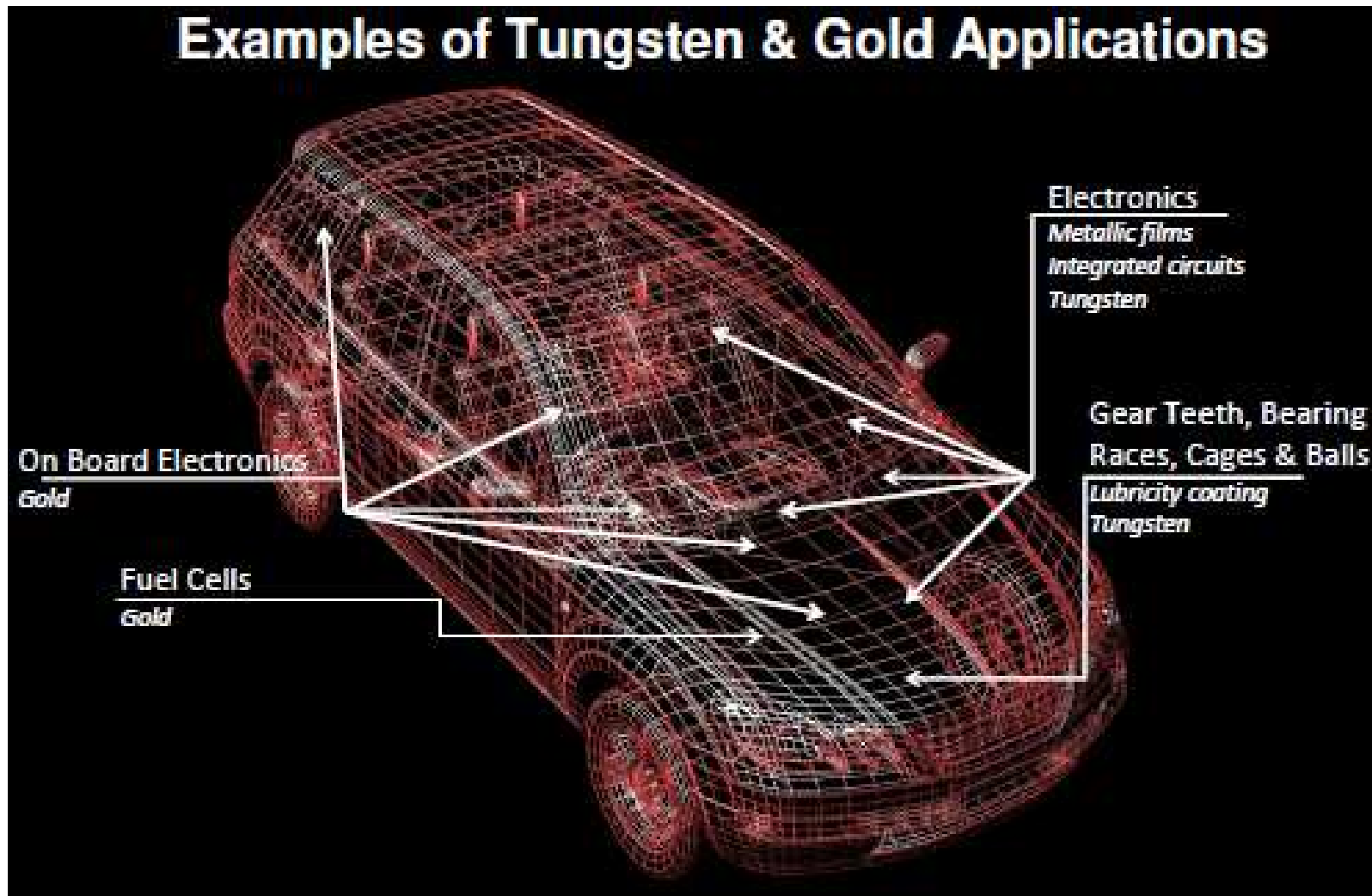
SEC* - Security Exchange Committee, US authority

Conflict Minerals – Automotive Industry Application



Picture source: AIAG report, May 2011

Conflict Minerals – Automotive Industry Application



Picture source: AIAG report, May 2011

YFAI expectation to Suppliers

The following actions are needed to fulfill YFAI requirements:

- Complete **Supplier Contact Letter** within 30 days providing us with your IPCMP ID and the name and contact details of your CM contact to YFAI-EC-ConflictMinerals@yfai.com
- Register on the **IPCMP platform**. This is preferred. The Basic Licence is free of charge <http://www.conflict-minerals.com/>
- **Collect smelter information from your suppliers**. Please use either IPCMP (recommended) or the CFSI template which is available at <http://www.conflictreesourcing.org/conflict-minerals-reporting-template/>
- **Submit your declaration** to Yanfeng Global Automotive Interiors. Include **your vendor number (IMDS company ID) to the company ID field of the CMRT**
- Questions? Please contact YFAI CM team on YFAI-EC-ConflictMinerals@yfai.com

YFAI expectation to Suppliers

YFAI Supplier

- Collect the Conflict Minerals data from your supply chain during the reporting year
- Correct rejected Conflict Minerals declaration and resubmit
- Submit your declaration until deadline
- Resubmit your final declaration until December 31 of each year

YFAI CM team will reject Conflict Minerals declaration:

- In- Complete or incorrect data are identified in CMRT
- the 3TG declaration does not correspond to available material or component information provided via IMDS (e.g if substance is used as catalyst, please declare it in comment field)
- The CMRT reporting template is older than version 4.20

Guide for Conflict Minerals report creation from received supplier's reports

Company report created manually from received CMRTs

- There should be an Excel tool on the market for roll-up action of Conflict Minerals reporting template. It's application depends on IT settings in company (several companies have prohibited to download software by users, macros settings...). Please check EICC-GESI website for more info
- Following slides explains basic rules which are applied during creation of company report from received supplier's responses
- Always is applied worst case from responses
- Smelter data must be entered manually

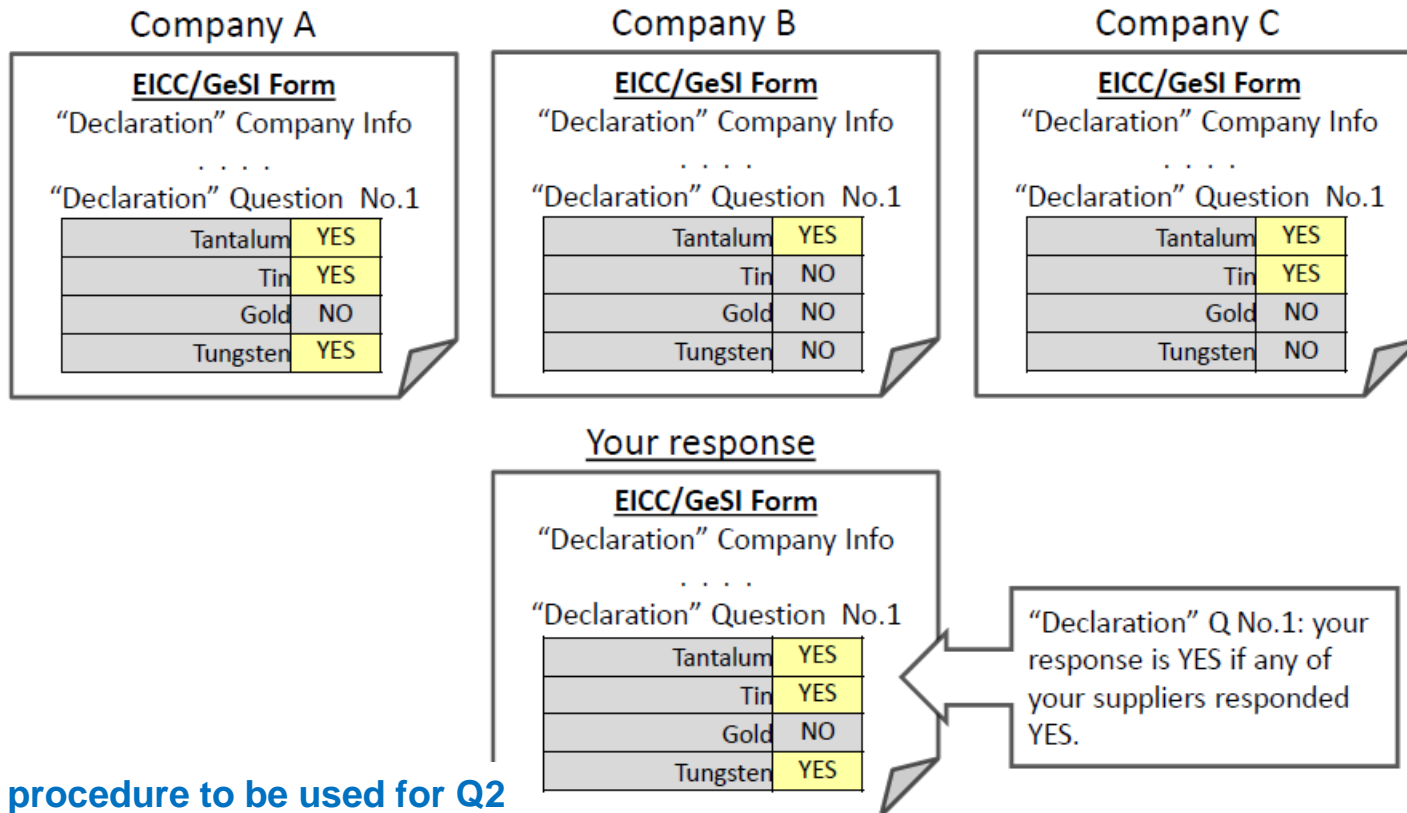
Slide with text and images – place slide headline here

- Conflict Minerals reporting template (CMRT) contains 5 Parts
 - Language selection
 - Company details
 - „3TG questions“
 - Company policy
 - Smelter info – separate sheet
- Checker section serves for control if all mandatory data were filled in each Each Section of CMRT
- Template without smelter data is not acceptable

The image shows a screenshot of the Conflict Minerals Reporting Template (CMRT) form. The form is titled "Select Language Preference Here" and includes a "cfsi" logo. It contains sections for "Company Information" and "Answer the following questions". The "Company Information" section includes fields for Company Name, Description of Scope, Company Unique ID, Contact Name, Email, Phone, Title, and Effective Date. The "Answer the following questions" section contains seven numbered questions (1-7) with "Yes/No" options and "Comments" columns. The form is partially filled out with data for "Cofco Steel Italia srl".

Conflict Minerals reporting template – declaration from received responses

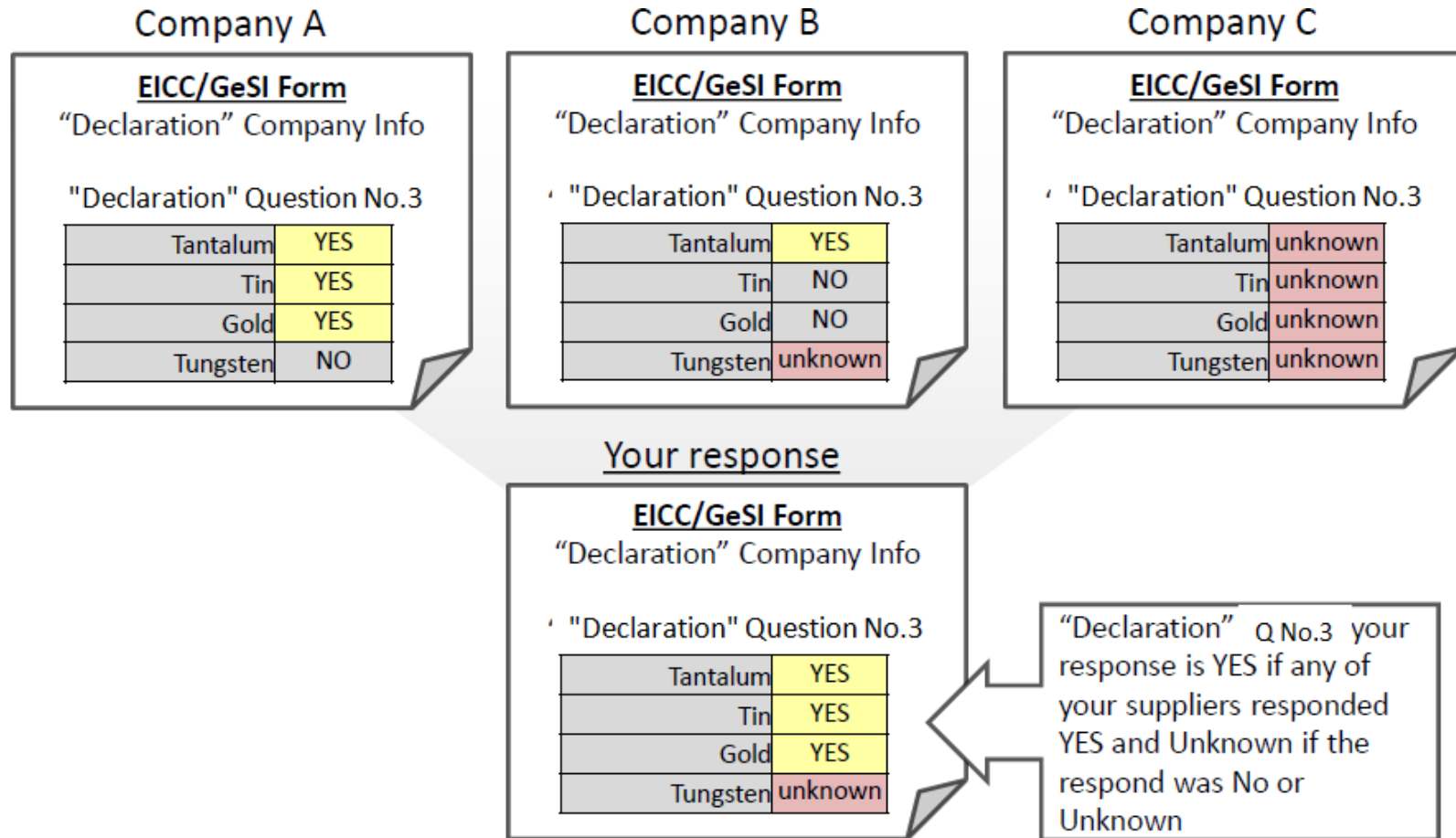
- **Q1)** Is the 3TG intentionally added to your product?
- **Q2)** Is the 3TG necessary to the production of you company’s product s and contained in the finished product that your comapny manufactures or contract to manufactures?



The same procedure to be used for Q2

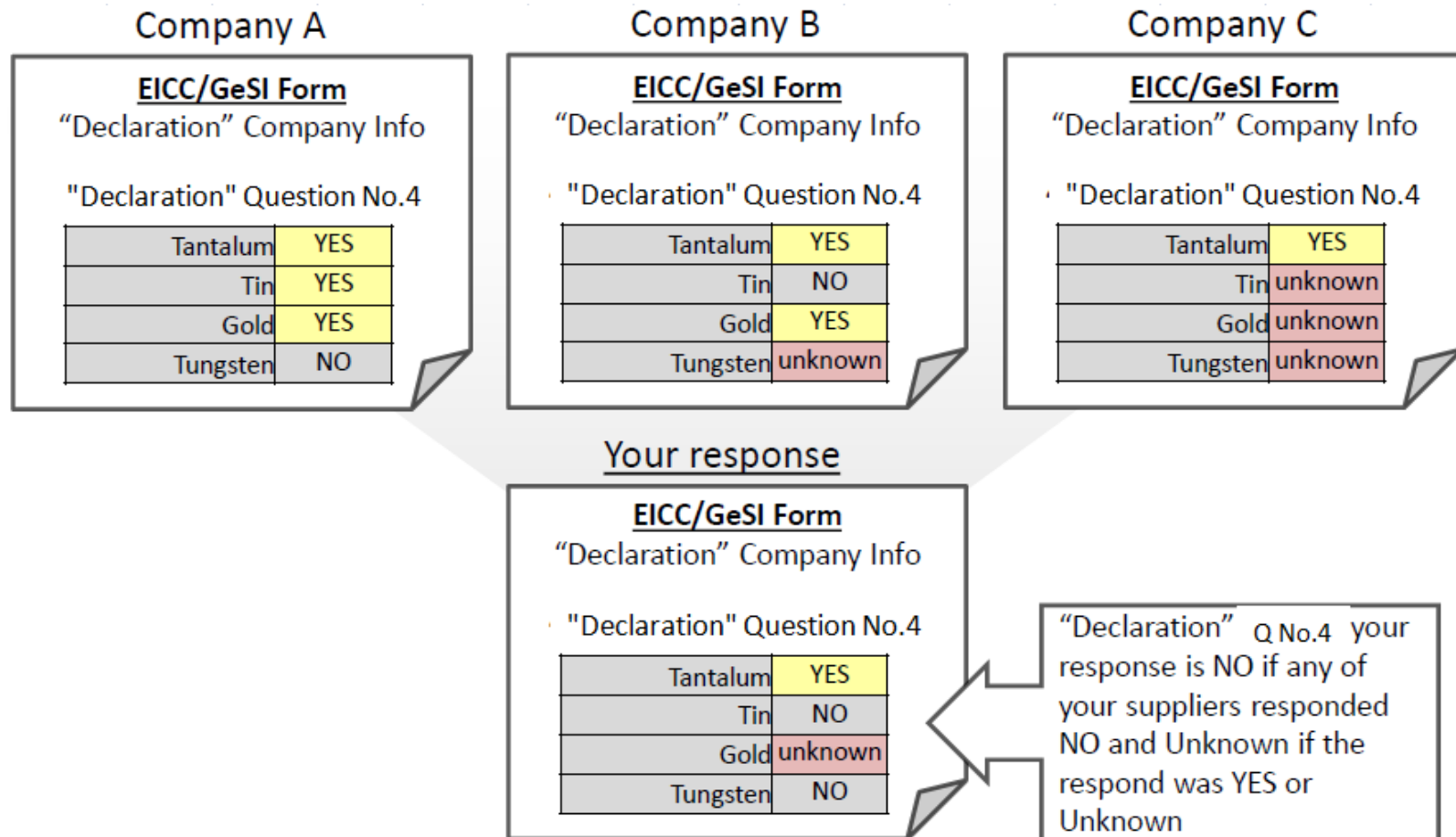
Conflict Minerals reporting template – declaration from received responses

- **Q3)** Do any of the smelters in your supply chain source the 3TG from the covered countries? (SEC them, see definition tab)



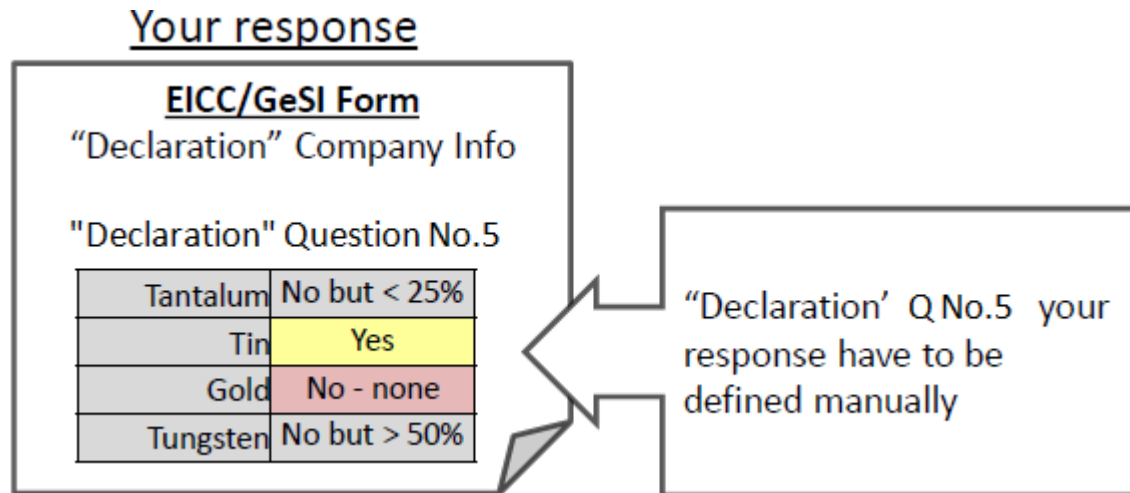
Conflict Minerals reporting template – declaration from received responses

- **Q4)** Does 100% of the 3TG (necessary to the functionality or production of your products) originate from recycled or scrap sources?



Conflict Minerals reporting template – declaration from received responses

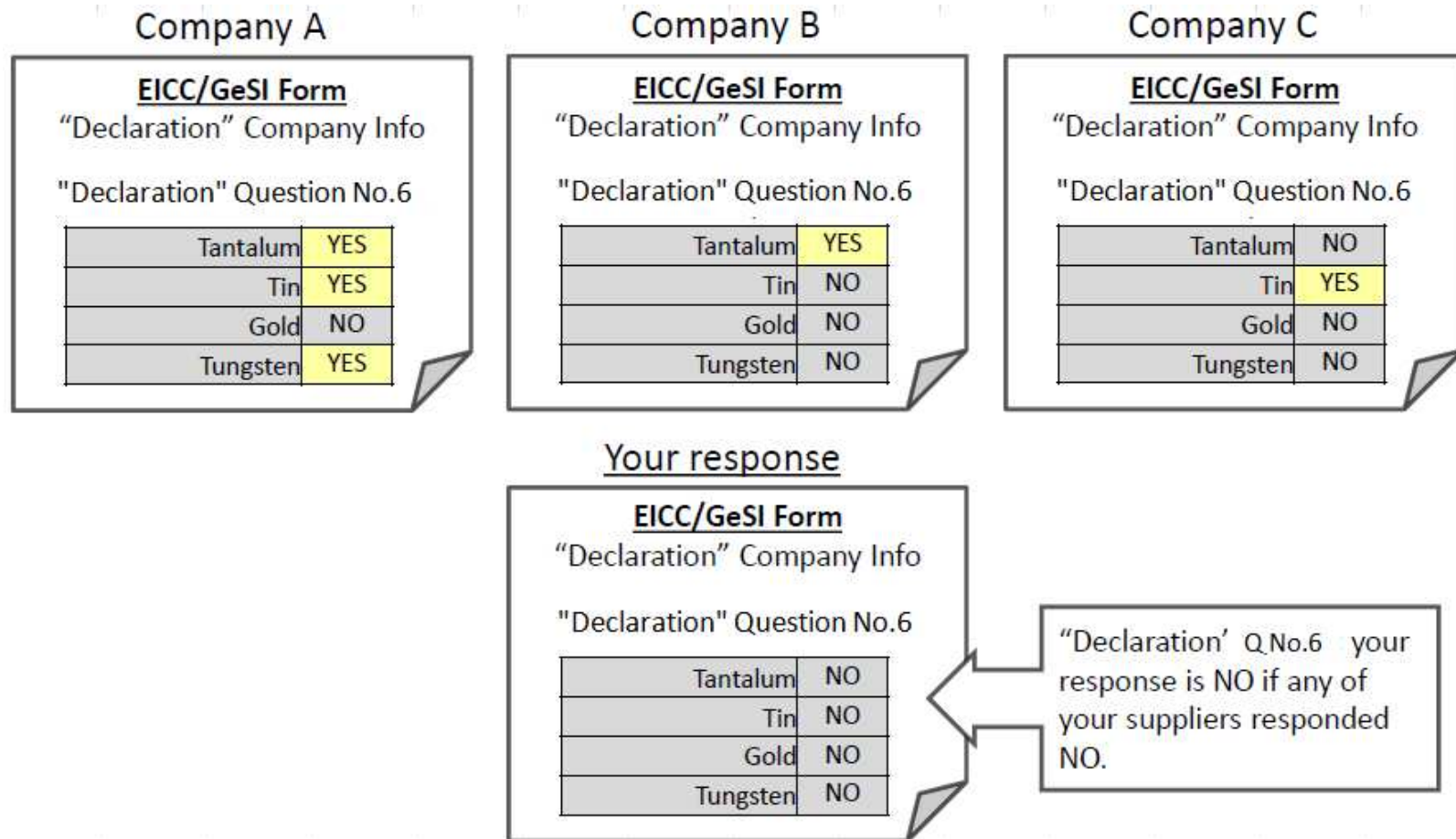
- **Q5)** Have you received data / information for each 3TG from all relevant suppliers?



Calculate the response rate based on:
amount received responses / amount of contacted suppliers

Conflict Minerals reporting template – declaration from received responses

- **Q6)** Have you identified all of the smelters supplying the 3TG to your supply chain?



Conflict Minerals reporting template – declaration from received responses

- **Q7)** Has all applicable smelter information received by your company been reported in this declaration?

Company A

EICC/GeSI Form
"Declaration" Company Info

"Declaration" Question No.7

Tantalum	YES
Tin	YES
Gold	YES
Tungsten	NO

Company B

EICC/GeSI Form
"Declaration" Company Info

"Declaration" Question No.7

Tantalum	YES
Tin	NO
Gold	YES
Tungsten	unknown

Company C

EICC/GeSI Form
"Declaration" Company Info

"Declaration" Question No.7

Tantalum	YES
Tin	unknown
Gold	unknown
Tungsten	unknown

Your response

EICC/GeSI Form
"Declaration" Company Info

"Declaration" Question No.7

Tantalum	YES
Tin	NO
Gold	unknown
Tungsten	NO

"Declaration" Q No.7 your response is NO if any of your suppliers responded NO and Unknown if the respond was YES or Unknown

Smelter tab – process of entering info

- The smelter list contains macros which make life easier for complete smelter list creation.
- There are two ways of entering smelter data
 1. Via smelter ID
 2. Via metal + company name

1. Use of smelter ID

Smelter Identification Number Input Column	Metal (*)	Smelter Reference List (*)	Smelter Name (*)	Smelter Country (*)	Smelter Identification	Source of Smelter Identification Number
<input type="text"/>						

insert smelter ID provided by supplier

Smelter Identification Number Input Column	Metal (*)	Smelter Reference List (*)	Smelter Name (*)	Smelter Country (*)	Smelter Identification	Source of Smelter Identification Number
CID000058	Gold	AngloGold Ashanti Córrego do Sítio Mineração	AngloGold Ashanti Córrego do Sítio Mineração	BRAZIL	CID000058	CFSI

Rest of information is automatically retrieved

Smelter tab – process of entering info

2. Metal + Company Name

Smelter Identification Number Input Column	Metal (*)	Smelter Reference	Smelter Identification Number Input Column	Metal (*)	Smelter Reference	Smelter Identification Number Input Column	Metal (*)	Smelter Reference List (*)
	Gold	AngloGc		Gold	AngloGold Ashanti Córrego do		Gold	AngloGold Ashanti Córrego do Sítio Mineração
	Gold	Torecom		Gold	Torecom		Gold	Torecom
				Gold			Gold	
	Tantalum Tin Tungsten Gold							Accurate Refining Group Advanced Chemical Company AGR Matheny AGR(Perth Mint Australia) Aida Chemical Industries Co., Ltd. Al Etihad Gold Refinery DMCC Allgemeine Gold-und Silberscheideanstalt A.G. Almalyk Mining and Metallurgical Complex (AMMC)

select metal from drop down menu

it will open section for Smelter company

Select company name

For not identified smelters & not listed smelter data must be written by you based on information received from supplier (copy-paste)

Smelter Identification Number Input Column	Metal (*)	Smelter Reference List (*)	Smelter Name (*)	Smelter Country (*)	Smelter Identification
	Gold	AngloGold Ashanti Córrego do Sítio Mineração	AngloGold Ashanti Córrego do Sítio Mineração	BRAZIL	CID000058
	Gold	Torecom	Torecom	KOREA, REPUBLIC OF	CID001955
	Gold	Smelter not yet identified	known	Unknown	

Thank you for your attention.